# Performance of the ATLAS Detector using First Collision Data

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#### Abstract

More than half a million minimum-bias events of LHC collision data were collected by the ATLAS experiment in December 2009 at centre-ofmass energies of 0.9 TeV and 2.36 TeV. This paper reports on studies of the initial performance of the ATLAS detector from these data. Comparisons between data and Monte Carlo predictions are shown for distributions of several track- and calorimeter-based quantities. The good performance of the ATLAS detector in these first data gives confidence for successful running at higher energies.

### 1 Introduction

In December 2009, the ATLAS detector [1] recorded data from a first series of LHC runs at centre-of-mass energies of 0.9 TeV and 2.36 TeV. When the beams were colliding and declared to be stable by the LHC operators, all main detector components were fully operational and all levels of the trigger and data acquisition system performed as expected, assuring smooth and well monitored data taking. The data sample at 0.9 TeV contains nearly 400 000 events recorded with high-quality calorimeter and tracking information, corresponding to an integrated luminosity of approximately 9  $\mu$ b<sup>-1</sup> [2]. The data at 2.36 TeV, 36 000 events, which are only used here for calorimeter studies, correspond to approximately 0.7  $\mu$ b<sup>-1</sup>. These data sets do not contain very many high- $p_{\rm T}$  objects, and therefore do not correspond to the environment for which ATLAS was designed.

The ATLAS detector was thoroughly commissioned and initial calibration and performance studies were done using cosmic ray data recorded during 2008 and 2009. Performance close to design goals was obtained for the different detector components, details can be found in Refs. [3, 4, 5, 6].

This paper presents performance established with data taken in first collisions in 2009. The detector components are outlined in Section 2. The trigger and data acquisition performance together with the initial event selection are discussed in Section 3; the simulation to which the data are compared is explained in Section 4. The performance of the inner tracking system is reviewed in Section 5, and the combined analysis of calorimeter data and tracking information to study electrons and photons is discussed in Section 6. Studies of jets and missing transverse energy,  $E_{\rm T}^{\rm miss}$ , using the calorimeter cells are presented in Sections 7 and 8. Finally, kinematic distributions of the first reconstructed muon candidates are shown in Section 9.

## 2 The ATLAS Detector

The ATLAS detector [1] covers almost the entire solid angle around the nominal interaction point and comprises the following sub-components:

- An inner tracking system: operating inside an axial magnetic field of 2 T, it is based on three types of tracking devices. These are an outer tracker using straw tubes with particle identification capabilities based on transition radiation (Transition Radiation Tracker, TRT), a silicon strip detector (SemiConductor Tracker, SCT) and an innermost silicon pixel detector (Pixel).
- A hybrid calorimeter system: for the electromagnetic portion (EM), the hadronic end-cap (HEC) and the forward calorimeter (FCal) a liquid argon (LAr) technology with different types of absorber materials is used. The central hadronic calorimeter (Tile) is a sampling calorimeter with steel as the absorber material and scintillator as the active medium. The electromagnetic sections use an accordion geometry to ensure fast and uniform response. A presampler detector, to correct for energy losses in the upstream material, is installed in front of the EM calorimeter in the range  $|\eta| < 1.8.^1$
- A large muon spectrometer: an air-core toroid system generates an average field of 0.5 T (1 T), in the barrel (end-cap) region of this spectrometer, resulting in a bending power between 2.0 and 7.5 Tm. Over most of the  $\eta$ -range, tracks are measured by Monitored Drift Tubes (MDT); in the high  $\eta$ -regime the closest of four wheels to the interaction region is instrumented with Cathode Strip Chambers (CSC). Trigger information is provided by Thin Gap Chambers (TGC) in the end-cap and Resistive Plate Chambers (RPC) in the barrel.
- Specialized detectors in the forward region: two dedicated forward detectors, the LUCID Cherenkov counter and the Zero Degree Calorimeter (ZDC). In addition the BPTX, an electrostatic beam-pickup which monitors the timing of the beam near ATLAS and two scintillator wheels (MBTS) were mounted in front of the electromagnetic end-caps to provide trigger signals with minimum bias.

### 3 Data-Taking Performance and Event Selection

The ATLAS operating procedure in 2009 maintained the calorimeters and TRT in standard operating conditions, but the silicon trackers and muon chambers

<sup>&</sup>lt;sup>1</sup>The origin of the coordinate system used to describe the ATLAS detector is the nominal interaction point. The positive x axis is defined as pointing from the interaction point to the centre of the LHC ring, the positive y axis is defined as pointing upwards and the beam direction defines the z axis of a right-handed coordinate system. Transverse momenta are measured in the x-y plane with radius r. Polar ( $\theta$ ) and azimuthal ( $\phi$ ) angles are measured with respect to this reference system. The pseudorapidity is defined as  $\eta = -\ln \tan(\theta/2)$ .

Table 1: Luminosity-weighted fraction of the time during stable beam operation for which the different detectors were able to take data under nominal conditions.

|                | Pixel | SCT  | TRT | LAr  | Tile | MDT  | RPC  | TGC  |
|----------------|-------|------|-----|------|------|------|------|------|
| Efficiency [%] | 80.9  | 86.2 | 100 | 99.0 | 100  | 87.4 | 88.6 | 84.4 |

were at a reduced or 'standby' voltage until after stable beams were declared by the LHC. Most of the studies in this paper required the tracking detectors to be in operating conditions and used approximately 400 000 events while the  $E_{\rm T}^{\rm miss}$ studies only required the calorimeters and used some 600 000 and 36 000 events at 0.9 TeV and 2.36 TeV respectively. The luminosity-weighted availability of the various sub-detectors (see Section 3.3) during stable beam operations is summarized in Table 1.

#### 3.1 Trigger/DAQ System

The ATLAS trigger and data acquisition (TDAQ) is a multi-level system with buffering at all levels [1]. Trigger decisions are based on calculations done at three consecutive trigger levels. While decisions at the first two levels are pending, the data acquisition system buffers the event data from each sub-detector. Complete events are built after the second level decision. The first level trigger (L1) is largely based on custom built electronics. It incorporates timing from the BPTX and coarse detector information from the muon trigger chambers and the trigger towers of the calorimeters, along with multiplicity information from the MBTS scintillators and the ATLAS forward detectors, LUCID and ZDC. The L1 system is designed to select events at a rate not exceeding 75 kHz from an input rate of 40 MHz and identify regions-of-interest (RoIs), needed by the high-level trigger system (HLT), for potentially interesting physics objects. HLT runs on a processor farm and comprises the second level (L2) and the third level trigger or Event Filter (EF). The L2 system evaluates event characteristics by examining the RoIs using more detector information and more complete algorithms. The EF analyzes the L2 selected events again looking at the RoIs' measurements. The analysis of the complete event is also possible. The output rate is reduced to approximately 200 Hz.

All trigger decisions used were made by the L1 systems as the luminosity was low. Minimum-bias events were triggered by a coincidence between the the BPTX and signals indicating hits in one or both of the MBTS scintillator wheels. However, the functionality of the main L2 and EF algorithms, for example those used for inner track reconstruction and jet finding, were validated by running in 'passthrough' mode, i.e. calculating relevant L2 and EF decisions without rejecting events. Important distributions like the vertex position (Fig. 1(a)) were monitored online and different data streams for fast analysis feedback based on L1 trigger decisions were provided. For the December 2009 running period the data-taking efficiency of the overall Trigger/DAQ system, as illustrated in Fig. 1(b), averaged to 90%.

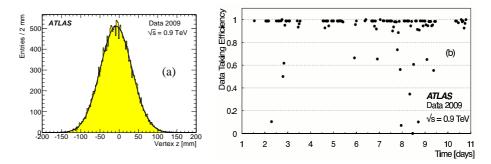


Figure 1: (a) Reconstructed z-vertex distribution calculated online by the higher level trigger for monitoring purposes. The width includes a small contribution from the experimental resolution. (b) Data-taking efficiency for periods with two circulating beams in December 2009.

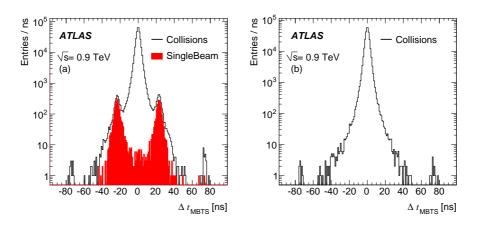


Figure 2: Time difference  $\Delta t_{MBTS}$  of hits recorded by the two MBTS scintillator wheels mounted in front of the electromagnetic end-cap wheels on both sides of the ATLAS detector; (a) time difference without any selection and (b) requiring a well-reconstructed vertex.

#### 3.2 Event Selection

To select collision candidates and remove beam-related background two different strategies were employed:

- For those studies based mainly on track information, the presence of a primary vertex, reconstructed using at least three tracks with sufficient transverse momenta, typically  $p_T > 150$  MeV, and a transverse distance of closest approach compatible with the nominal interaction point are required. This selection strategy, which was used in Ref. [2], uses events triggered by a single hit in one of the two MBTS scintillator wheels.
- Alternatively, the selection is based on the timing difference of signals detected on both sides of the ATLAS detector. Coincident signals, within a time window of 5 or 10 ns from either the electromagnetic calorimeters (end-cap or FCal) or from the two MBTS wheels, respectively, are required. The event must again be triggered by an MBTS signal. In case no timing coincidence is found, a two hit MBTS trigger with at least one hit per side is required.

The detailed track quality criteria used for the first strategy vary slightly for the different studies presented and are described later when appropriate.

Without any event selection the MBTS-triggered events contain backgrounds from beam-related events as shown in Fig. 2(a), where the time difference,  $\Delta t_{\rm MBTS}$ , of MBTS signals recorded on both sides of the ATLAS detector is depicted. For events coming from the interaction point  $\Delta t_{\rm MBTS}$  is small. Beamrelated background produced upstream or downstream should have  $\Delta t_{\rm MBTS}$ around 25 ns, with the sign giving the direction. Eighty percent of single beam events are missing timing information on one or both sides and are therefore not shown. Requiring a well-reconstructed vertex with track quality requirements reduces the beam-related background by more than three orders of magnitude while retaining genuine collision events (Fig. 2(b)). There are twelve singlebeam events which meet this vertex requirement, but all of them are missing timing information and are not shown.

#### 3.3 Luminosity Measurement

The luminosity during the 2009 ATLAS data-taking period was estimated offline based upon the timing distributions measured by the MBTS. Events with signals detected on opposite ends of the ATLAS detector in the MBTS are counted. After background subtraction the luminosity is calculated using the number of events with a timing difference consistent with particles originating from the interaction point (see Fig. 2), the expected minimum-bias cross section and the event selection efficiency determined from data and Monte Carlo. The MBTS detector is used for the absolute luminosity determination because of its high trigger efficiency for non-diffractive events [2]. The uncertainty on the luminosity, dominated by the understanding of the modelling of inelastic pp interactions, is estimated to be around 20%.

Figure 3 shows the luminosity as a function of time, as measured using the MBTS system as well as with three other techniques: timing in the LAr, the LUCID relative luminosity monitor and particle vertices reconstructed online.

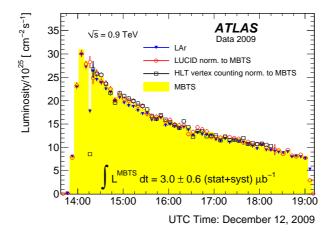


Figure 3: Instantaneous luminosity measured by the MBTS and LAr, with superimposed the LUCID and HLT vertex counting estimates normalized in such a way to give the same integrated luminosity as measured with the MBTS system. All measurements are corrected for TDAQ dead-time, except LUCID which is free from dead time effects. The short luminosity drop at 14:15 is due to inhibiting the trigger for ramping up the silicon detectors after declaration of stable LHC beams.

The LAr technique has a slightly larger systematic uncertainty in the accepted cross section than that from the MBTS and produces a result which agrees to 4%. The other methods are normalized to the MBTS measurement.

### 4 Monte Carlo Simulation

Monte Carlo samples produced with the PYTHIA 6.4.21 [7] event generator are used for comparison with the data. ATLAS selected an optimized parameter set [8], using the  $p_{\rm T}$ -ordered parton shower, tuned to describe the underlying event and minimum bias data from Tevatron measurements at 0.63 TeV and 1.8 TeV. The parton content of the proton is parameterized by the MRST LO\* parton distribution functions [9].

Various samples of Monte Carlo events were generated for single-diffractive, double-diffractive and non-diffractive processes in pp collisions. The different contributions in the generated samples were mixed according to the crosssections calculated by the generator. There was no contribution from cosmic ray events in this simulation. All the events were processed through the ATLAS detector simulation program [10], which is based on GEANT4 [11]. This simulation software has also been systematically compared to test-beam data over the past decade (see e.g. Ref. [12]) and it was constantly improved to describe these data. After the detector simulation the events were reconstructed and analyzed by the same software chain also used for data.

The beam position and size, which did not correspond precisely to those used in the simulation prepared beforehand, have a significant impact on some distributions, particularly for detectors close to the interaction region. The length of the luminous region, as seen in Fig. 1(a), is approximately half that expected, and the simulated events were re-weighted to match this. The transverse offset in the simulation of about 2 mm cannot be corrected for by this method.

The distributions presented in this paper always show the simulated sample normalized to the number of data events in the figure.

### 5 Tracking Performance

The inner tracking system measures charged particle tracks at all  $\phi$  and with pseudorapidity  $|\eta| < 2.5$ . The pixel detector is closest to the beam, covering radial distances of 50 – 150 mm with three layers both in the barrel region and in each end-cap. The innermost Pixel layer (known as the B-layer) is located just outside the beam pipe at a radius of 50 mm. The pixels are followed, at radii between 299 – 560 mm, by the silicon strip detector known as the SCT. This provides 4 (barrel) or 9 (end-cap) double layers of detectors. The Pixels are followed, for radii between 563 – 1066 mm, by the TRT. The TRT straw layout is designed so that charged particles with transverse momentum  $p_{\rm T} > 0.5$  GeV and with pseudorapidity  $|\eta| < 2.0$  cross typically more than 30 straws. The intrinsic position resolutions in  $r\phi$  for the Pixels, the SCT and the TRT are 10, 17 and 130 µm, respectively. For the Pixels and the SCT the other space coordinate is measured with 115 and 580 µm accuracy, where the SCT measurement derives from a 40 mrad stereo angle between the two wafers in a layer.

#### 5.1 Hits on Tracks

The sample of minimum-bias events provides approximately two million charged particles with  $p_{\rm T}$  over 500 MeV through the central detectors of ATLAS. Their trajectories in the inner detector were reconstructed using a pattern recognition algorithm that starts with the silicon information and adds TRT hits. This 'inside-out' tracking procedure selects track candidates with transverse momenta above 500 MeV [13]. Two further pattern recognition steps were run, each looking only at hits not previously used: one starts from the TRT and works inwards adding silicon hits as it progresses and the other repeats the first step, but with parameters adjusted to allow particle transverse momenta down to 100 MeV. The multiple algorithms are necessary partly because a 100 MeV  $p_{\rm T}$  charged particle has a radius of curvature of about 17 cm in the ATLAS magnetic field and will not reach the TRT.

The track selection requirements vary slightly among the analyses presented here. A typical set of selections is that charged particle tracks are required to have  $p_{\rm T}>0.5$  GeV,  $\geq 1$  Pixel hit,  $\geq 6$  SCT hits and impact parameters with respect to the primary vertex of  $|d_0| < 1.5$  mm and  $|z_0 \sin \theta| < 1.5$  mm. The transverse impact parameter,  $d_0$ , of a track is its distance from the primary vertex at the point of closest approach when projecting into the transverse plane, signed negative if the extrapolation inwards has the primary vertex to the right,  $z_0$  is the longitudinal distance at that point.

The hit distributions in the silicon detectors as a function of  $\phi$  are shown in Fig. 4 for tracks passing these requirements in data and simulation. The

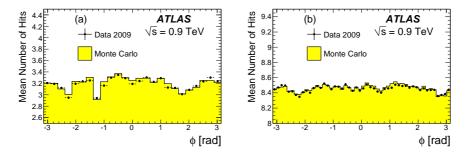


Figure 4: A comparison of data and simulation in the average number of hits in (a) the Pixels and (b) the SCT versus  $\phi$  on selected tracks. Comparable distributions versus  $\eta$  can be found in Ref. [2].

fluctuations seen in  $\phi$  correspond to non-responsive detector modules which are modelled in the simulation. A small mis-match between data and simulation arises because the simulated beam had a transverse displacement of about 2 mm from the true position, as discussed in Section 4.

The efficiency of the individual TRT straws is displayed in Fig. 5 as a function of the distance of the test track to the wire in the centre of the straw. The efficiency for data and simulation, barrel and end-cap, has a plateau close to 94%.

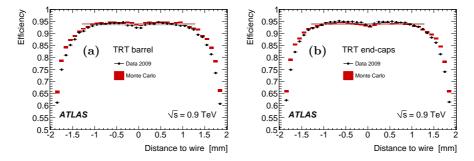


Figure 5: TRT hit efficiency as a function of the distance of the track from the wire in the centre of the straw in (a) the barrel and (b) the end-caps.

The alignment of the tracking detectors benefited from the precision construction and survey followed by an extended period of data taking using cosmic ray muons [5]. The alignment was improved using the 0.9 TeV collision data, although the particles have rather low momentum and therefore their tracks suffer from multiple scattering. The quality of the alignment can be checked by the study of the residuals, which are defined as the measured hit position minus that expected from the track extrapolation.

Unbiased residuals between tracks and barrel TRT hits are plotted in Fig. 6. This figure is made using charged particles with  $p_{\rm T}>1$  GeV with over 6 hits in the SCT and at least 14 in the TRT. The equivalent Gaussian width is extracted from the full-width at half maximum. The end-cap shows a resolution somewhat worse than simulation, while in the barrel part of the detector, where a higher cosmic ray flux can be used for alignment, data and simulation are in close

agreement.

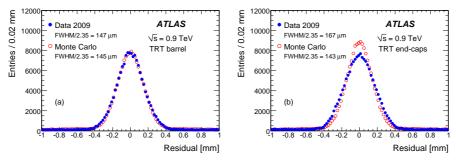


Figure 6: Unbiased residual distributions in the TRT barrel (a) and end-caps (b). The data points are in filled circles and the simulation in empty ones.

Unbiased x residuals from the silicon detectors are shown in Fig. 7, where x refers to the more precise local coordinate on the detector. Charged particles are selected to have  $p_{\rm T} > 2$  GeV. The equivalent Gaussian width is extracted from the full-width at half maximum. The width of the resulting distributions in data are within about 15% of those found in a simulation with no alignment errors, showing that the remaining impact on the residual widths from imperfect alignment in data is at the level of approximately 10-15 µm for the pixels and of 20 µm for the SCT.

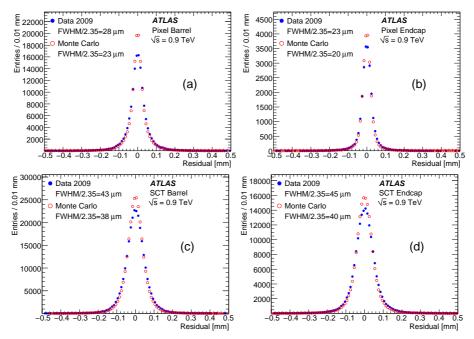


Figure 7: The distributions of the silicon detector unbiased residuals for (a) the pixel barrel, (b) the pixel end-cap, (c) the SCT barrel, (d) the SCT end-cap. The data are in solid circles, the simulation, which has a perfect alignment, is shown with open ones.

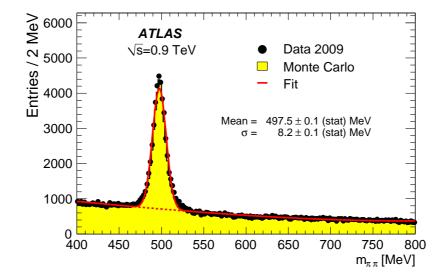


Figure 8: The  $K_{\rm S}^0$  candidate mass distribution using impact parameter and lifetime selections. The simulated signal and background are separately normalized to the data.

The momentum scale and resolution of the tracker, and energy loss with in, were all investigated by studying the  $K_{\rm S}^0$  to  $\pi^+\pi^-$  decay. The reconstruction requires pairs of oppositely-charged particles compatible with coming from a common vertex. This vertex, in the transverse plane, must be more than 0.2 mm from the primary vertex. The cosine of the angle between the flight path relative to the primary vertex and the momentum vector of the candidate,  $\cos \theta_K$ , is required to exceed 0.8. The invariant mass distribution, calculated assuming that both charged particles are pions is shown in Fig. 8. The simulated signal and background are separately normalized to the data, and the position and width of the  $K_{\rm S}^0$  mass peak are fitted using a Gaussian. The peak in data is at  $m_{\pi\pi} = 497.5 \pm 0.1$  MeV, in agreement with the PDG average [14].

In order to test the momentum scale and resolution of the detector the reconstructed pions in the simulation are adjusted by parameters  $\mu_{\rm tr}$ , which scales the  $1/p_{\rm T}$ , and  $\sigma_{\rm tr}$ , a Gaussian smearing on  $\mu_{\rm tr}$ . The values of these parameters which best fit the observed  $K_{\rm S}^0$  mass and width in the barrel region are  $\mu_{\rm tr} = 1.0004 \pm 0.0002$  and  $\sigma_{\rm tr} = 0.0040 \pm 0.0015$ . Thus the momentum scale for these barrel charged particles is known at better than the one per mille level, which is as expected from the accuracy of the solenoid magnet field-mapping performed before installation of the inner detector [15]. This, and subsequent  $K_{\rm S}^0$  studies, use a tighter cut of 0.99 on  $\cos \theta_K$ .

In the end-cap regions there is evidence for a degraded resolution, especially at low momentum. Charged particles with  $p_T$  below 500 MeV require a  $\sigma_{\rm tr}$  of  $0.024\pm0.004$  and  $0.022\pm0.004$  in the negative and positive end-caps, respectively, to match the data, suggesting some material is missing in the description of the end-caps. The momentum scale in the end-caps is compatible with the nominal within errors of 1 to 2 per mille. The  $K_{\rm S}^0$  peak was also used to investigate the amount of material in the inner tracker as a function of radius. The mass reconstructed in data, divided by that found in simulation, is shown in Fig. 9 as a function of decay radius.

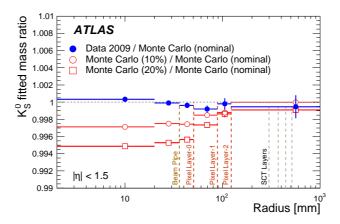


Figure 9: The fitted  $K_{\rm S}^0$  mass divided by the value found in nominal MC simulation as a function of the reconstructed decay position. The filled circles show the data, and the open symbols are for simulation samples with approximately 10% and 20% more silicon tracker material added. The horizontal dotted line is to guide the eye.

Deviations of this ratio from unity would expose differences between the real detector and the model used for simulation. The results for special simulation samples with approximately 10% and 20% fractional increase in the radiation length of the silicon systems included by increasing the density of some of the support structures are also shown in Fig. 9. These results suggest that discrepancies of material between the data and the simulation must be significantly smaller than 10% of the material thickness in the inner silicon barrels.

### 5.3 dE/dx and $\phi(1020)$ Identification

One feature of the Pixel tracking system is a time-over-threshold measurement for the signal which was used to extract the specific energy loss dE/dx. Tracks with more than one Pixel hit were studied and the mean dE/dx was found for each after the highest was removed to reduce the effect of Landau fluctuations. Figure 10 shows the distribution observed in the data. Bands corresponding to different particle species are clearly visible.

In the observation of  $\phi \to K^+K^-$  identification of the  $K^{\pm}$  reduces the combinatorial background. The identification of kaon candidates through dE/dxproceeds by finding the probability density functions of pions  $(p_{\text{pion}})$ , kaons  $(p_{\text{kaon}})$  and protons  $(p_{\text{proton}})$  in the simulation as a function of momentum and dE/dx. This is done via fitting the observed value in simulation using a Gaussian function whose parameters are momentum dependent. The simulation models the data with an accuracy of about 10%.

The tracks used in the reconstruction of the  $\phi$  meson must have more than one hit in the Pixel system and an impact parameter within  $3\sigma$  of the primary vertex. The track fit was re-run using the kaon mass hypothesis for the energy

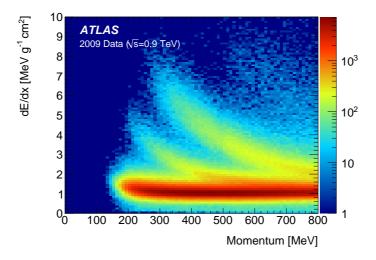


Figure 10: The dE/dx measured in data as a function of momentum.

loss. The simulation shows that after re-fitting the kaon momenta are underestimated by up to 10 MeV and a corresponding correction is applied. This changes the reconstructed  $\phi$  mass by approximately 0.3 MeV. All oppositely charged particle pairs where both momenta, reconstructed under the kaon hypothesis, are below 800 MeV are considered.

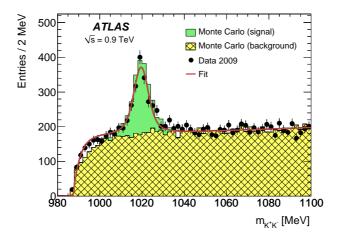


Figure 11: The measured and simulated mass spectra of  $K^+K^-$  pairs. The  $\phi$  peak is fitted with a Breit-Wigner with a fixed width convoluted with a Gaussian. Both kaons must be identified through the dE/dx measurement.

Figure 11 shows the resulting mass distribution for the  $K^+K^-$  candidate pairs, selected using charged particles with 200  $< p_{\rm T} < 800$  MeV and a kaon dE/dx tag. The selection cuts were chosen to yield optimal signal significance on simulated events; a measure which was greatly improved using the dE/dxinformation. The background and signal levels in the simulation were scaled independently to match the data. The fit allowed the mass and experimental resolution to vary, while keeping the natural width fixed to the PDG [14] average. The mass was found to be  $1019.5\pm0.3$  MeV, in agreement with the expected value. The fitted experimental resolution in data is  $2.5\pm0.5$  MeV and matches the  $2.4\pm0.3$  MeV found in Monte Carlo simulation.

#### 5.4 Secondary Vertex Tagging

An important role of the tracking system is the identification of heavy flavour hadrons. There are several tagging algorithms developed in ATLAS. Some performance figures for two algorithms, the impact parameter and the secondary vertex tagging algorithm, are presented in the following.

The transverse impact parameter,  $d_0$ , is a key variable for discriminating tracks originating from displaced vertices from those originating from the primary vertex. For studies of track impact parameters the  $d_0$  was calculated with respect to a primary vertex which was fitted excluding that track in order to remove bias.

In order to study the effect of material on the  $d_0$  resolution, Fig. 12(a) shows  $\sigma^2(d_0)$  versus  $1/(p^2 \sin^3 \theta)$  for data and simulation using all selected charged particle tracks. The quantity  $\sigma(d_0)$  is determined by fitting the  $d_0$  distribution in each bin of  $1/(p^2 \sin^3 \theta)$  with a Gaussian within  $\pm 2\sigma(d_0)$  about its mean. The data lie approximately on a straight line, as is expected if the scattering material is on a cylinder and the match of the slope with the simulation implies a good description of the material of the inner detector. It should be noted that the intercept on the y axis has a contribution from the primary vertex resolution.

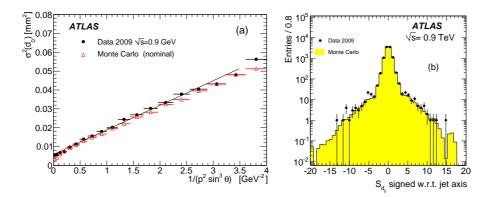


Figure 12: (a) The variance of the  $d_0$  distribution as a function of  $1/(p^2 \sin^3 \theta)$  of the tracks for data (solid points) compared to the nominal simulation (open points). A straight line fit to the data points is also shown. (b) The lifetime-signed impact parameter significance.

The track selection for the *b*-tagging algorithms is designed to select wellmeasured particles and reject badly measured tracks, tracks from long-lived particles ( $K_{\rm S}^0, \Lambda$  and other hyperon decays), and particles arising from material interactions such as photon conversions or hadronic interactions.

Table 2: Track selection criteria used for the impact parameter and secondary vertex tagging algorithms.

|                   | Impact parameter | Standard vertex | Loose vertex |
|-------------------|------------------|-----------------|--------------|
| $p_{\mathrm{T}}$  | > 1  GeV         | > 0.5  GeV      | > 0.5  GeV   |
| $d_0$             | < 1  mm          | < 2  mm         | < 10  mm     |
| $z_0 \sin \theta$ | < 1.5  mm        | < 2  mm         | < 50  mm     |

The track selection used by the impact parameter tagging algorithm is summarized in the first column of Table 2. Slightly different selections are used by the secondary vertex algorithm (second column of Table 2).

Calorimeter jets (see Section 7) are the reconstructed objects the tagging algorithms are typically applied to. Their direction is taken as estimator of the putative heavy flavour hadron direction. The impact parameter is then signed by whether the track perigee, relative to the jet direction, suggests a positive or negative flight distance. The distribution of the lifetime-signed impact parameter significance for tracks in jets is shown in Fig. 12(b).

Reconstructing explicitly the secondary decay vertices of heavy flavour hadrons adds substantial tagging information. There are expected to be few bquarks which can be tagged in this data set, so the algorithm was run with the standard as well as loose settings, as described in the second and third columns of Table 2, respectively. The loose setting selects vertices originating from  $K_{\rm S}^0$ as well as from b-hadron decays whereas in the standard configuration any pair of tracks consistent with a  $K_{\rm S}^0$ ,  $\Lambda$  or photon conversion is explicitly removed.

Secondary vertices are reconstructed in an inclusive way starting from twotrack vertices which are merged into a common vertex. Tracks giving large  $\chi^2$ contributions are then iteratively removed until the reconstructed vertex fulfils certain quality criteria.

The mass distribution of the resulting vertices for the loose configuration, assuming a pion mass for each track, is shown in Fig. 13.

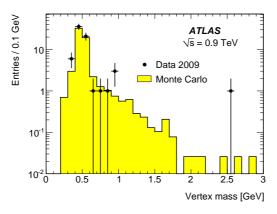


Figure 13: The vertex mass distribution for all secondary vertices with positive decay length selected in data. The expectation from simulated events, normalized to the number of jets in the data, is superimposed.

Running the algorithm in the standard configuration results in the reconstruction of 9 secondary vertices with positive decay length significance. This is in good agreement with the  $8.9 \pm 0.5$ (stat.) vertices expected from the same number of jets, 10503, in non-diffractive minimum-bias simulation. The vertices reconstructed with the standard version of the tagging algorithm are predominantly those with higher masses as the low-mass region is dominated by  $K_{\rm S}^0$ mesons.

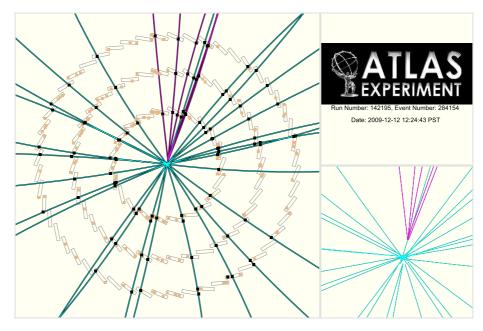


Figure 14: An event containing a secondary vertex selected by the secondary vertex algorithm. The pixel detector can be seen on the left and an expansion of the vertex region on the right. Unassociated hits, in a lighter colour, are predominantly due to unreconstructed particles such as those with transverse momenta below 0.5 GeV.

An event display of the highest-mass candidate is shown in Fig. 14. The secondary vertex consists of five tracks and has a mass of 2.5 GeV. The vertex is significantly displaced from the primary vertex, with a signed decay length significance  $L/\sigma(L) = 22$ . From the vertex mass, momentum and L a proper lifetime of 3.1 ps is estimated. The data was also tested by the impact-parameter based b-tagging algorithm and this jet is assigned a probability below  $10^{-4}$  for originating from a light quark jet.

#### 5.5 Particle Identification using Transition Radiation

The TRT provides substantial discrimination between electrons and pions over the wide energy range between 1 and 200 GeV by utilizing transition radiation in foils and fibres. The readout discriminates at two thresholds, the lower set to register minimum-ionising particles and the higher intended for transition radiation (TR) photon interactions. The fraction of high-threshold TR hits as a function of the relativistic  $\gamma$  factor is shown in Fig. 15 for particles in the forward region. This region is displayed because there are more conversion candidates and they have higher momenta than in the barrel.

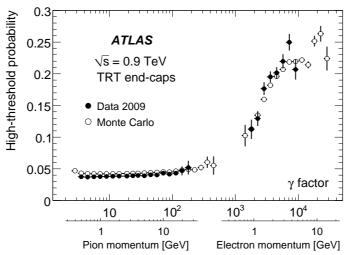


Figure 15: The fraction of high-threshold transition radiation hits on tracks as a function of the relativistic  $\gamma$  factor (see text for details).

The high- $\gamma$  part of the distribution is constructed using electrons from photon conversions while the low- $\gamma$  component is made using charged particle tracks with a hit in the B-layer and treating them as pions. All tracks are required to have at least 20 hits in the TRT. The photon conversions are found similarly to those in Section 6.7 with at least one silicon hit, but the transition radiation electron identification was not applied to the electron that was being plotted. To ensure high purity (about 98%), the conversion candidates are also required to have a vertex more than 40 mm away from the beam axis. The pion sample excludes any photon conversion candidate tracks.

### 5.6 Tracking Efficiency for Level-2 Trigger

The L2 track trigger is one component of the HLT whose performance can be tested with current data. The trigger runs custom track reconstruction algorithms at L2, designed to produce fast and efficient tracking using all tracking subdetectors. Tracking information forms an integral part of many ATLAS triggers including electron, muon and tau signatures [16]. These use L1 information to specify a region of interest to examine. In the 2009 data there were few high- $p_{\rm T}$  objects, so the results here are taken from a mode which searches for tracks across the entire tracking detector and is intended for B-physics and beam-position determination at L2.

Offline tracks with  $|d_0| < 1.5 \text{ mm}$  and  $|z_0| < 200 \text{ mm}$  are matched to L2 tracks if they are within  $\Delta R = \sqrt{\Delta \eta^2 + \Delta \phi^2} < 0.1$ . The efficiency is defined as the fraction of offline tracks which are matched and is shown in Fig. 16 as a function of the track  $p_{\rm T}$ .

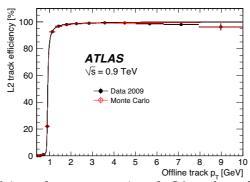


Figure 16: The efficiency for reconstruction of a L2 track candidate as a function of the  $p_{\rm T}$  of the matched offline track in data and Monte Carlo simulation. A fit of the threshold curve is superimposed.

### 6 Electrons and Photons

The electron and photon reconstruction and identification algorithms used in ATLAS are designed to achieve a large background rejection and a high and uniform efficiency over the full acceptance of the detector for transverse energies above 20 GeV. Using these algorithms on the 0.9 TeV data, a significant number of low- $p_{\rm T}$  electron and photon candidates were reconstructed. The measurements provide a quantitative test of both the algorithms themselves and the reliability of the performance predictions in the transverse energy range from the reconstruction threshold of 2.5 GeV to about 10 GeV.

The electromagnetic calorimeter (EM) consists of the barrel (EMB) and two end-caps (EMEC). The barrel covers the pseudorapidity range  $|\eta| < 1.475$ ; the end-cap calorimeters cover  $1.375 < |\eta| < 3.2$ . In the forward direction energy measurements for both electromagnetic and hadronic showers are provided by the Forward Calorimeter (FCal) in the range  $3.1 < |\eta| < 4.9$ . The hadronic calorimetry in the range  $|\eta| < 1.7$  is provided by the scintillator-tile calorimeter (Tile). For  $1.5 < |\eta| < 3.2$  hadronic showers are measured by the hadronic end-caps (HEC), which use LAr with a copper absorber.

The  $e/\gamma$  algorithms make use of the fine segmentation of the EM calorimeter in both the lateral and longitudinal directions of the showers [1]. At high energy, most of the EM shower energy is collected in the second layer which has a lateral granularity of  $0.025 \times 0.025$  in  $\eta \times \phi$  space. The first layer consists of finer-grained strips in the  $\eta$ -direction (with a coarser granularity in  $\phi$ ), which improves  $\gamma$ - $\pi^0$  discrimination. A third layer measures the tails of very highly energetic EM showers and helps in rejecting hadron showers. In the range  $|\eta| < 1.8$  these three layers are complemented by a presampler layer placed in front with coarse granularity to correct for energy lost in the material before the calorimeter.

The algorithms also make use of the precise track reconstruction provided by the inner detector. The TRT also provides substantial discriminating power between electrons and pions over a wide energy range (between 1 and 200 GeV). The Pixel B-layer provides precision vertexing and significant rejection of photon conversions through the requirement of a track with a hit in this layer.

#### 6.1 Electron and Photon Reconstruction

The basic algorithms for electron and photon reconstruction are described in detail in Ref. [16]. The first stage of the search for EM objects is to look for significant deposits in the EM calorimeter cells inside a sliding window as it is moved across the detector. The size of the sliding window cluster depends on the type of candidate (electron, unconverted or converted photon) and the location (barrel, end-caps). The cluster energy is calculated from the amplitudes observed in the cells of the three longitudinal layers of the EM calorimeter and of the presampler (where present). The calculation sums the weighted energies in these compartments, then takes into account several corrections for shower depth, lateral and longitudinal leakage, local modulation etc. The weights and correction coefficients were parameterized from beam-tests [1] and simulation.

Electrons are reconstructed from the clusters if there is a suitable match with a particle track of  $p_{\rm T} > 0.5$  GeV. The best track is the one with an extrapolation closest in  $(\eta, \phi)$  to the cluster barycentre (the energy-weighted mean position) in the middle EM calorimeter layer. Similarly, photons are reconstructed from the clusters if there is no reconstructed track matched to the cluster (unconverted photon candidates) or if there is a reconstructed conversion vertex matched to the cluster (converted photon candidates). "Single track conversions" (identified via tracks lacking a hit in the B-layer) are also taken into account. First, electron candidates with a cluster  $|\eta| < 2.47$  and photons with cluster  $|\eta| < 2.37$ are selected and investigated (the cluster  $\eta$  is defined here as the barycentre of the cluster cells in the middle layer of the EM calorimeter). Electron and photon candidates in the EM calorimeter transition region  $1.37 < |\eta| < 1.52$ are not considered. At this stage, 879 electron and 1 694 photon candidates are reconstructed in the data with  $E_T$  above 2.5 GeV.

#### 6.2 Electron and Photon Identification

The isolated electron and photon identification algorithms rely on selections based on variables which provide good separation between electrons/photons and fake signatures from hadronic jets. These variables include information from the calorimeter and, in the case of electrons, tracker and combined calorimeter/tracker information. There are three classes of electrons defined: loose, medium and tight, and two for photons: loose and tight. The selection criteria were optimized in bins of  $E_T$  and  $\eta$ , separately for electrons, unconverted and converted photons.

The loose selection criteria are based on the shower shape and are common to electrons and photons. For electrons, the medium requirements make use of the track information while in the tight ones the particle track selections are more stringent and use the particle identification capability of the TRT. For photons the tight selection criteria make full use of the EM calorimeter strip layer information, mainly to reject merged photon pairs from high energy  $\pi^{0}$ 's.

In the following all reconstructed electron and photon candidates with cluster  $E_T > 2.5$  GeV at the sliding window level are considered.

#### 6.3 Electron Candidates

Figure 17 displays, for all of the 879 electron candidates from 384 186 events, the transverse energy and pseudorapidity spectra. Table 3 presents the percentage of these candidates which pass the successive selection criteria both for data and simulation. These criteria were not optimized for such low-energy electron candidates (see Section 6.2). Both Fig. 17 and Table 3 show similar behaviour in data and simulation. The remaining discrepancies in the first stages of background rejection may be related to the small differences observed in shower variables (see Section 6.6.1 below). In Fig. 17(b) the drop in efficiency around  $|\eta| = 1.5$  corresponds to the barrel/end-cap transition.

Table 3: The fraction of electron and photon candidates passing the different selection criteria, compared to those predicted by Monte Carlo (MC). Statistical error are quoted.

|        | Electron c       | andidates        | Photon candidates |                  |  |
|--------|------------------|------------------|-------------------|------------------|--|
|        | Data (%)         | MC (%)           | Data (%)          | MC $(\%)$        |  |
| Loose  | $46.5 {\pm} 1.7$ | $50.9 {\pm} 0.2$ | $25.4{\pm}1.0$    | $30.5 {\pm} 0.1$ |  |
| Medium | $10.6 {\pm} 1.0$ | $13.1{\pm}0.2$   | n.a.              | n.a.             |  |
| Tight  | $2.3{\pm}0.5$    | $2.4{\pm}0.1$    | $4.1{\pm}0.5$     | $6.6{\pm}0.1$    |  |

In these figures the Monte Carlo prediction is sub-divided into its two main components: hadrons and real electrons. The latter is largely dominated by electrons from photon conversions, but also includes a small fraction ( $\sim 3\%$ ) of electrons from other sources, such as Dalitz decays, and an even smaller one (below 1%) of electrons from b, c  $\rightarrow$  e decays. There are twenty electron candidates passing the tight selections in the data. Approximately 15% of such candidates in the Monte Carlo are from heavy flavour decays.

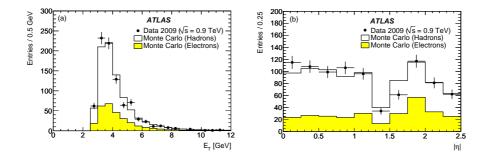


Figure 17: Distribution of cluster  $E_T$  (a) and  $|\eta|$  (b) for all selected electron candidates. The simulation is normalized to the number of data events.

#### 6.4 Photon Candidates

Transverse energy and pseudorapidity spectra for all 1694 photon candidates are displayed in Fig. 18. Table 3 presents the percentage of photon candidates,

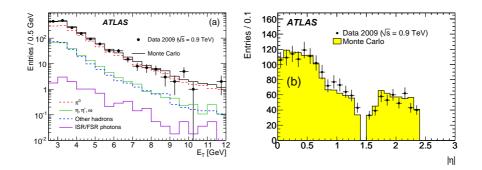


Figure 18: Cluster  $E_T$  (a) and  $|\eta|$  (b) for all selected photon candidates. The simulation is normalized to the number of data events.

as a function of the selection level applied. Of the selected candidates, 14% are reconstructed as converted photons and almost all of these,  $\sim$  98%, are also selected as electron candidates.

The Monte Carlo prediction is sub-divided in this case into four components of decreasing importance: approximately 71% of the candidates correspond to photons from  $\pi^0$  decay, whereas ~ 14% are from  $\eta$ ,  $\eta'$  or  $\omega$  decays into photons; ~ 14% are from other hadrons with complex decay processes and particles interacting in the tracker material. At these energies, only a very small fraction, ~ 1%, of all photon candidates are expected to be primary products of the hard scattering.

#### 6.5 First-level Electron and Photon Trigger Performance

The L1  $e/\gamma$  selection algorithm searches for narrow, high- $E_T$  electromagnetic showers and does not separate electrons from photons. The primitives for this algorithm are towers which sum the transverse energies of all electromagnetic calorimeter cells in  $\Delta \eta \times \Delta \phi = 0.1 \times 0.1$ . The trigger examines adjacent pairs of towers and tests their total energy against several trigger thresholds. Isolation requirements were not yet employed. The lowest threshold  $e/\gamma$  trigger for the 2009 data-taking period required a transverse energy of at least 4 GeV.

Clusters consistent with originating from an electron or photon are selected by requiring at least 30% of the cluster energy to be deposited in the second layer of the electromagnetic calorimeter, where the maximum of an electromagnetic shower is expected. The selected  $e/\gamma$  candidates are matched to L1 clusters in  $\eta$  and  $\phi$  by requiring  $\Delta R < 0.15$ . The efficiency is then calculated from the fraction of reconstructed clusters which have a matching L1 cluster.

The resulting L1 trigger efficiency for the lowest threshold component is shown in Fig. 19. The sharpness of the efficiency turn-on curve around threshold agrees with the Monte Carlo expectation. Low energy reconstructed clusters occasionally fire the trigger, especially when the coarser granularity used at L1 merges two separate offline clusters.

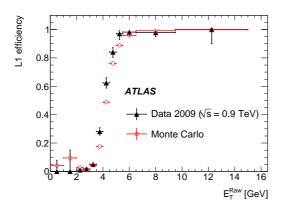


Figure 19: Efficiency for the lowest threshold L1 electromagnetic trigger, a nominal 4 GeV, as a function of the uncalibrated offline cluster transverse energy. The turn-on is shown for data (solid triangles) and non-diffractive minimum-bias simulation (open circles).

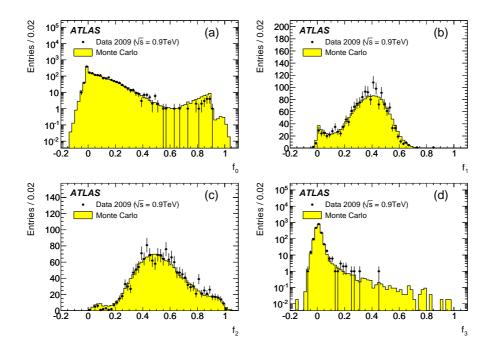


Figure 20: Fraction of energy deposited by photon candidates with  $E_T > 2.5$  GeV in each layer of the electromagnetic calorimeter for data and simulation. These fractions are labelled as (a)  $f_0$  for the presampler layer, (b)  $f_1$  for the strip layer, (c)  $f_2$  for the middle layer and (d)  $f_3$  for the back layer. Fractions can be negative due to noise fluctuations. The simulation is normalized to the number of data events.

#### 6.6 Electron and Photon Identification Variables

#### 6.6.1 Calorimeter Variables

In this section, various calorimeter-based quantities are displayed for the photon candidates. These are preferred to the similar electron distributions because of the higher purity.

Figure 20 illustrates the longitudinal development of the shower in the successive layers of the EM calorimeter, based on the measured layer energies before corrections are applied. For the observed photon candidates, which in simulation are predominantly from  $\pi^0$  decays, the energy is deposited in earlier calorimeter layers than typical for high energy photons. In the presampler part, the simulation points are higher than the data for fractions above 0.6. This is at least in part because the presampler simulation does not describe the recombination of electron-positron pairs by highly ionizing hadrons or nuclear fragments that lower the LAr response, an effect which is included in the accordion calorimeter simulation. This feature also explains the observed disagreement in the first bins for the fractions in the other layers, since the various fractions are correlated.

Several variables are used to quantify the lateral development of the shower. From these, the distribution of  $w_2$ , the shower width measured in the second layer of the EM calorimeter, is shown in Fig. 21(a). The shower width  $w_2$  is slightly larger in the data. Preliminary studies show that including the crosstalk between neighbouring middle layer cells (~ 0.5%) [17] in the simulation explains part of the observed difference.

The distribution of two variables used for  $\pi^0/\gamma$  separation in the tight photon selection,  $E_{\text{ratio}}$  and  $w_{s3}$ , are shown in Figs. 21(b) and 21(c).  $E_{\text{ratio}}$  is the difference of the highest and second highest strip energies, divided by their sum.  $w_{s3}$  is the shower width measured in three strips around the maximum energy strip. For this variable the data show a slightly wider profile than the simulation, although in this case the simulation already includes the measured cross-talk. In general, all the shower shape variables show good agreement between data and simulation.

#### 6.6.2 Tracking and Track-Cluster Matching Variables

Electron and converted photon identification rely heavily on tracking performance. Figure 22 illustrates two of the track-calorimeter matching variables used in the identification of electron candidates in data and simulation. For simulation, hadrons and real electrons are shown separately. Fig. 22(a) shows the difference in  $\eta$ ,  $\Delta \eta_1$ , between the track extrapolated to the strip layer of the EM calorimeter and the barycentre of the cell energies in this layer. Figure 22(b) shows the difference in azimuth,  $\Delta \phi_2$ , between the track extrapolated to the middle layer of the EM calorimeter and the barycentre of the cell energies in this layer. This variable is signed by the charge of the particle to account for the position of any radiated photons with respect to the track curvature, and an asymmetric cut is applied in the selection. The asymmetric tails at large negative values of  $\Delta \phi_2$  are more pronounced for the electrons than for the hadrons.

Figure 23 shows a comparison of four of the tracking variables between data and simulation for all electron candidates. Figures 23(a) and 23(b) show the numbers of hits on the tracks in the Pixel and SCT detectors, respectively. The

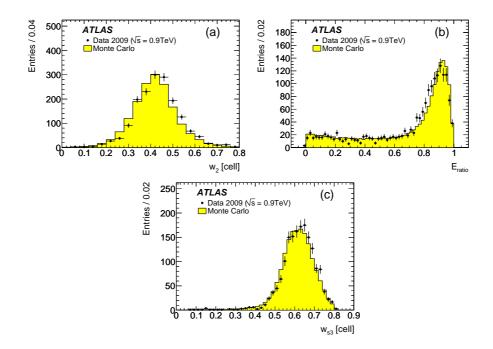


Figure 21: Distributions of calorimeter variables compared between data and simulation for all photon candidates with  $p_{\rm T}$  above 2.5 GeV. Shown are the shower width in the middle layer of the EM calorimeter,  $w_2$  (a), and the variables  $E_{\rm ratio}$  (b) and  $w_{s3}$  (c), which characterize the shower shape in the first (strips) EM layer. The simulation is normalized to the number of data events.

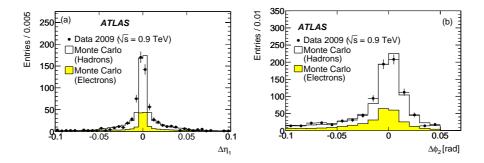


Figure 22: Distributions of track-calorimeter matching variables for all electron candidates compared between data and simulation. (a) shows the difference in  $\eta$  in the first calorimeter layer (see Section 6.6.2) and (b) shows the match in charge-signed- $\phi$  in the second. The simulation is normalized to the number of data events.

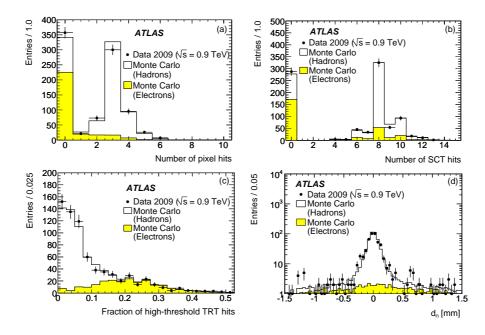


Figure 23: Distributions of tracking variables for all electron candidates compared between data and simulation. The number of Pixel (a) and SCT (b) hits on the electron tracks are shown, the fraction of high-threshold TRT hits for candidates with  $|\eta| < 2.0$  and with a total number of TRT hits larger than ten (c), and the transverse impact parameter,  $d_0$ , with respect to the reconstructed primary vertex (d). The simulation is normalized to the number of data events.

fraction of high-threshold TRT hits belonging to the track for electron candidates with  $|\eta| < 2.0$  and with a total number of TRT hits larger than ten is shown in Fig. 23(c). At these low energies the transition radiation yield of electrons is not optimal and yet a very clear difference can be seen between the distributions expected for hadrons and for electrons from conversions. Finally, Fig. 23(d) shows the distribution of the transverse impact parameter,  $d_0$ , of the electron track with respect to the reconstructed primary vertex position in the transverse plane; whereas the hadrons in the simulation display a distribution peaked around zero with a resolution of ~ 100  $\mu$ m, the electrons from conversions have large impact parameters. The agreement between data and simulation is good, despite the complications expected at these low energies due to material effects and track reconstruction inefficiencies.

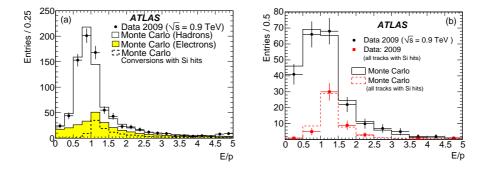


Figure 24: Ratio, E/p, between cluster energy and particle track momentum (a) for electron candidates and (b) for electrons from converted photons. In each case candidates with  $p_{\rm T}$  above 2.5 GeV in the calorimeter are shown. Sub-figure (a) is dominated by real electrons. The simulation is normalized to the number of data events.

Figure 24(a) shows the distribution of the ratio E/p of cluster energy in the calorimeter to track momentum for all electron candidates and for data and simulation. Electrons from conversions have a broad E/p distribution as their shortened tracks have a large momentum error. The hadron component peaks at values near unity: this behaviour, due to the selection bias for these hadrons, is also observed in the simulation. In a similar fashion, Fig. 24(b) shows the E/p ratio of the reconstructed converted photon candidates, where the converted photon momentum is estimated from the combination of the particle momenta for double-track conversions and from the particle momentum measurement available for single-track conversions. Approximately 20% of the converted photon candidates are reconstructed as single-track conversions in this kinematic regime. Both the electron dominated and the hadron dominated distributions show good agreement with the simulation.

#### 6.6.3 Use of the TRT for Electron Identification

As already discussed in Section 6.3, the electron candidate data sample is expected to consist predominantly of two components: charged hadrons misreconstructed as electrons and electrons from photon conversions. These two components can be separated by using the measured fraction of high-threshold TRT hits on the electron tracks (see Fig. 23(c)). To perform such a measurement, the electron candidates are required to lie within the TRT acceptance, i.e.  $|\eta| < 2.0$ , and to have a reconstructed track with a total of at least ten TRT hits.

The distribution of the fraction of high threshold hits has been fitted in 20 bins between 0 and 0.5 to extract the number of hadrons and electrons observed in the data. This relies upon the modelling of the response of the TRT to electrons and pions in the simulation. The sample of electron candidates considered here is predicted to contain  $494\pm26$  electron candidates which are actually hadronic fakes and  $226\pm21$  genuine electrons.

Two examples of comparisons between the shapes of variables extracted for each of the two components, using the method described above (on each bin individually), and the shapes predicted for each component are shown in Figs. 25 and 26, respectively, for two of the most sensitive variables: the fraction of the cluster energy measured in the strip layer and the ratio E/p. The E/pdistribution for electrons in both data and simulation shows a peak close to unity and a tail at large values from bremsstrahlung losses in the tracker material. The error estimates in these de-convolved plots come from toy Monte Carlo trials and their size reflects the power of the TRT detector for electron identification. The rates of electrons and hadrons and the relevant distributions agree with the Monte Carlo simulation for each species illustrating the quality of the simulation modelling.

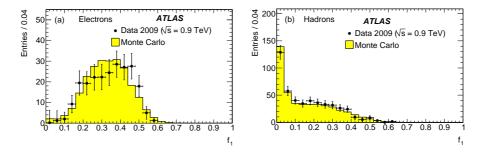


Figure 25: Distribution of the energy fraction in the strip layer of the EM calorimeter as extracted from data compared to the truth from simulation. The results are shown for both components of the electron candidates: electrons from conversions (a) and hadrons (b). The simulation is normalized to the number of data events.

#### 6.7 Photon Conversions

An accurate and high-granularity map of the inner detector material is necessary for a precise reconstruction of high-energy photons and electrons. The location of the conversion vertex can be used as a tool to map the position and amount of material of the inner detector. In the following, photon conversions are selected using only information from the inner detector, enabling the use of very low momentum particle track pairs. In addition, conversions give a source of electrons from which the TRT detector response can be determined.

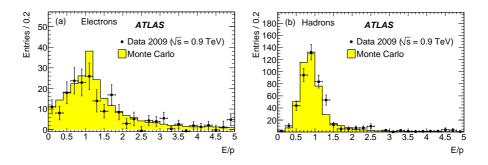


Figure 26: Distribution of the E/p as extracted from data compared to the truth from simulation. The results are shown for both components of the electron candidates: electrons from conversions (a) and hadrons (b). The simulation is normalized to the number of data events.

The conversion reconstruction algorithm is described in detail elsewhere [16]. In the following, the basic steps of the algorithm are recalled together with an updated list of selection criteria. The algorithm begins by selecting single particle tracks with transverse momentum  $p_{\rm T} > 500$  MeV. These tracks must have a probability of being an electron of more than 10%, calculated using the particle identification capability of the TRT, see Section 5.5.

Conversion candidates are then created by pairing oppositely charged particle tracks. The tracks are further required to be close in space and to have a small opening angle. The selected particle track pairs are then fitted to a common vertex with the constraint that they be parallel at the vertex. The final set of conversion candidates is selected based on the quality of the vertex fit which must have  $\chi^2$  smaller than 50.

The tracks used for the reconstruction of conversions may be stand-alone TRT tracks, or they may include silicon hits. In the data, 3662 vertices, 6.7% of the total, have two tracks with silicon information, to be compared with 10.4% in the simulation. This class of vertices have much less background than the total and the following results are drawn from them. Some properties of the candidates in data and Monte Carlo simulation are shown in Fig. 27. Given the complexity of the reconstruction of converted photons and the impact of bremsstrahlung of the electrons in the tracker material, the consistency between the data and the simulation for the selection variables is good.

To measure the inner detector material the selection requirements are tightened to >90% TR electron probability and vertex  $\chi^2 < 5$ .

Figure 28 shows the location in radius and  $\eta$  of conversion vertices. The simulation was normalized to the same number of conversions as in the data and the agreement in shape is satisfactory.

The amount of material, in multiples of the radiation length  $X_0$ , that the photons traverse can be calculated from the fraction of photon conversions seen in it given the reconstruction efficiency. The combinatorial background and the error in determining the conversion radius must be accounted for. To remove the dependence on the absolute flux of photons and the overall reconstruction efficiency, the rate is normalized to that seen in a well-understood reference material volume, which is chosen to be the beam pipe. As shown in Fig. 28, there were only 9 conversions in this reference volume and so the absolute material

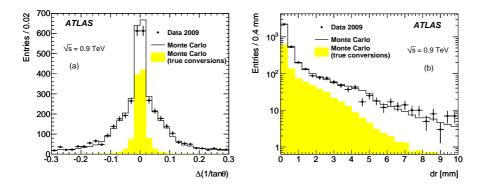


Figure 27: Comparison between converted photon candidates, for which both tracks have silicon hits, in data and non-diffractive minimum-bias Monte Carlo simulation. (a) Opening angle in the rz plane between the two tracks  $(\Delta(1/\tan\theta))$ ; (b) 3D distance of closest approach between the two tracks, dr. The distributions are normalized to the same number of conversion candidates in data and Monte Carlo simulation.

determination has errors of at least 30%. The agreement between data and Monte Carlo is presented in Table 4.

#### Reconstruction of $\pi^0$ and $\eta$ Mesons 6.8

For the analysis presented in this section, cells from the four layers are combined to form a cluster of size  $\Delta \eta \times \Delta \phi = 0.075 \times 0.125$ , which corresponds to an area of  $3 \times 5$  cells in the middle layer of the EM calorimeter. The EM cell clusters are reconstructed with a seed cell threshold  $|E_{\rm cell}| = 4\sigma$  (where  $\sigma$ corresponds to the electronic noise in the cell) and with a cluster transverse energy  $E_T > 300$  MeV [16]. These clusters are used as photon candidates for  $\pi^0$  and  $\eta$  reconstruction.

The standard parameterization of energy response discussed in Section 6.1 was performed for photons with  $E_T > 5$  GeV. For the present study a dedicated parameterization was extracted from the minimum-bias simulation sample using low-energy photons coming only from  $\pi^0$ s.

### 6.8.1 Extraction of $\pi^0 \to \gamma \gamma$ Signal

In order to extract the  $\pi^0$  signal from the combinatorial background, well measured photons were selected inside an acceptance of  $|\eta| < 2.37$ , excluding a transition region  $1.37 < |\eta| < 1.52$ . The fraction of energy in the first layer,  $E_1/(E_1 + E_2 + E_3)$ , was required to be larger than 0.1 and the clusters were required to have a transverse energy,  $E_{\rm T}$ , above 400 MeV. All pairs of photons with  $p_{\rm T}^{pair} > 900$  MeV are selected. There are about

 $8 \times 10^5$  of these in the data.

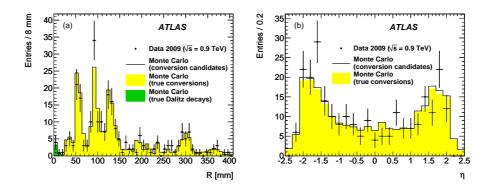


Figure 28: Distribution of conversion candidate radius, (a), and  $\eta$ , (b). The points show the distribution for data; the open histograms, the total from the Monte Carlo simulation and the filled component shows the expected contribution of true photon conversions. The contribution from the Dalitz decays of neutral mesons is shown in sub-figure (a). The Monte Carlo simulation is normalized to number of conversion candidates in the data, although in subsequent analysis normalization is to the number in the beam pipe.

### 6.8.2 $\pi^0$ Mass Fit

The invariant mass distribution of the photon pairs is shown in Fig. 29 for both data and Monte Carlo. The diphoton mass distribution is fitted using a maximum-likelihood fit. The signal is described by the sum of a Gaussian and a "Crystal-Ball function" [18], which are required to have the same mean. The combinatorial background is described with a 4<sup>th</sup> order Chebyshev polynomial. The parameters of the signal and the background normalization are varied in the fit to the data, while the parameters of the polynomial were extracted from the Monte Carlo.

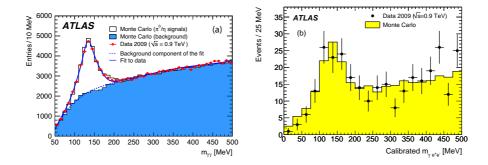


Figure 29: (a) Diphoton invariant mass distribution for the  $\pi^0$  selection for data and Monte Carlo. The Monte Carlo is normalized to the same number of entries as the data. (b) Invariant mass distribution from one converted and one unconverted photon. The data are represented by points and the Monte Carlo simulations are shown as histograms.

Table 4:  $N_{\rm reco}$  is the number of reconstructed conversions in each layer, and  $X/X_{\rm 0data}$  and  $X/X_{\rm 0MC}$  represent the amount of material in the different volumes estimated from data and Monte Carlo, normalized by the number of reconstructed converted photons in the beam pipe, whose material is assumed to be correct. The normalization introduces an additional statistical uncertainty of 30% on  $X/X_{\rm 0data}$ .

|               | $N_{\rm reco}$ | $\frac{X}{X_0}_{\text{data}}$ | $\frac{X}{X_0}$ MC |
|---------------|----------------|-------------------------------|--------------------|
| Beam pipe     | 9              | 0.00655                       | 0.00655            |
| Pixel B-layer | 46             | $0.030\pm0.004$               | 0.032              |
| Pixel layer 1 | 65             | $0.035 \pm 0.004$             | 0.027              |
| Pixel layer 2 | 55             | $0.025 \pm 0.003$             | 0.023              |
| SCT layer 1   | 25             | $0.020\pm0.004$               | 0.016              |

The fitted  $\pi^0$  mass is 134.0±0.8 MeV for the data and 132.9±0.2 MeV for the Monte Carlo where the errors are statistical only. The mass resolution in the data is 24.0 MeV, to be compared with 25.2 MeV in the simulation, and the number of  $\pi^0$ 's is  $(1.34 \pm 0.02) \times 10^4$ . This fit is sensitive to the modelling of the background shape near the  $\pi^0$  mass. Varying the background shape under the peak leads to a differences of up to 1% for the fitted  $\pi^0$  mass, up to 10% for the fitted  $\pi^0$  mass resolution and up to 20% for the fitted total number of signal events.

The 1% agreement of energy scale between data and Monte Carlo is well within the 2 - 3% uncertainty on the energy scale transported from test-beam data analysis. The 1.5% discrepancy of the mass found in Monte Carlo with respect to the PDG nominal  $\pi^0$  mass is consistent with the accuracy (as evaluated with simulation) of the cluster calibration procedure used for the low-energy photons, and the 1% uncertainty arising from the background modelling.

The converted photons reconstructed in Section 6.7 can also be used to search for the  $\pi^0$ . This is done here using one photon reconstructed in the calorimeter, with a track veto applied and one conversion candidate. The conversion candidates are required to have four silicon hits on both tracks and must be in the same hemisphere as the calorimeter cluster. Figure 29(b) shows the  $\gamma e^+e^$ mass spectrum; the  $\pi^0$  peak is clearly visible.

The uniformity of the EM calorimeter response was studied in ten  $\eta$  bins, where both photons are in the same bin. The diphoton mass distribution in each  $\eta$  bin is fitted separately with the background shape constrained from simulation. The reconstructed  $\pi^0$  mass is constant within 3% for both data and Monte Carlo for all  $\eta$  bins, and the ratio of data to Monte Carlo is consistent within the 2% statistical uncertainties.

#### 6.8.3 Extraction of the $\eta \rightarrow \gamma \gamma$ Signal

The number of  $\eta \to \gamma \gamma$  events is expected to be one order of magnitude smaller than  $\pi^0 \to \gamma \gamma$  in the minimum-bias event sample. Therefore, the combinatorial background contribution in the  $\eta$  mass region needs to be significantly reduced.

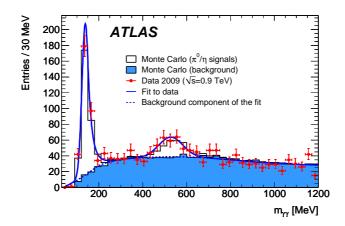


Figure 30: Diphoton invariant mass spectrum with tighter selection criteria to extract the  $\eta$  peak with the fit superimposed to the data. The Monte Carlo simulation sample is normalized to the number of entries in the distribution for data.

This can be achieved by adding the following criteria to the  $\pi^0$  analysis:

- Tighter kinematic selections:  $\mathbf{E}_T^{cluster} > 800$  MeV,  $\mathbf{p}_T^{pair} > 2200$  MeV.
- A track veto: no track, extrapolated into the calorimeter, should be within  $-0.1 < (\phi_{\text{clus}} \phi_{\text{extr}}) < 0.05$  and  $|\eta_{\text{clus}} \eta_{\text{extr}}| < 0.05$  of the cluster being considered.

The diphoton invariant mass spectrum of this sample is shown in Fig. 30 for both data and Monte Carlo. In addition to the  $\pi^0$  peak, the  $\eta \to \gamma \gamma$  signal can be observed on top of the combinatorial background. The mass spectrum was fitted using the sum of a Gaussian and a Crystal-Ball function with the same mean for the  $\pi^0$  peak, a Gaussian for the  $\eta$  peak and a 4<sup>th</sup> order Chebyshev polynomial for the background. The  $\pi^0$  and background shape parameters are taken from the Monte Carlo simulation while their normalizations are free in the fit, as are the parameters of the Gaussian describing the  $\eta$  peak.

As can be seen from Fig. 30, the number of  $\eta$  candidates per photon pair agrees between the data and the Monte Carlo simulation. The  $\eta$  mass extracted from the data, 527 ± 11 (stat) MeV, agrees with the mass obtained using the same fitting function on the Monte Carlo simulation, 544 ± 3 (stat) MeV, within the statistical and energy scale uncertainties.

### 7 Jets

Many of the final states which will be studied in high energy collisions contain jets of hadrons produced by strong interactions. The ATLAS analysis chain applies the same jet algorithm to the 0.9 TeV and 2.36 TeV collision data and to the Monte Carlo simulation. The following comparison between data and Monte Carlo simulations should not be taken as a precise analysis of the underlying physics in the simulation, but rather as an assessment of the general behaviour of the detector and software chain (reconstruction and simulation).

Results are presented using clusters of calorimeter cells calibrated to correctly measure the energy deposited by electrons and photons in the calorimeter. This is known as the electromagnetic scale. There was, at this stage, no allowance for energy loss in inert material. From this starting point jets were reconstructed using the anti- $k_T$  algorithm [19], which is safe against infrared and collinear divergences. The parameter R, which controls the size of jets in the  $\eta - \phi$  plane, was set to R=0.6.

#### 7.1 Jets from Calorimeter Clusters

The inputs to the jet algorithm are topological clusters [16] which attempt to reconstruct the three-dimensional shower topology of each particle. These clusters were built starting from seed cells with energies  $|E_i| > 4\sigma_{\text{noise}}$ , where  $\sigma_{\text{noise}}$ is the electronic noise measured by iteratively gathering neighbouring cells with  $|E_i| > 2\sigma_{\text{noise}}$  and, in a final step, adding all direct neighbours of these accumulated secondary cells. The noise in the EM calorimeter, for example, was in the range of 10-40 MeV per cell, depending upon the compartment and pseudorapidity. Approximately 0.1% of all cells were classified as noisy and were removed. Clusters built from the remaining cells were then used to create jets, which have to satisfy:  $p_{\rm T}^{\rm jet}$  >7 GeV and  $|\eta|$  <2.6 where  $p_{\rm T}^{\rm jet}$  is the transverse jet momentum at the electromagnetic scale. In order to remove cosmic muons and some residual effects from cells in the calorimeter that exhibit large noise fluctuations the jets are required to pass quality criteria. Furthermore, if the jet energy corrections compensating for excluded calorimeter regions exceed 20%, the corresponding candidates are not considered. Figure 31 presents example distributions of the internal structure of the jets, namely the number of topological clusters, and the fraction of the jet energy carried by each of them.

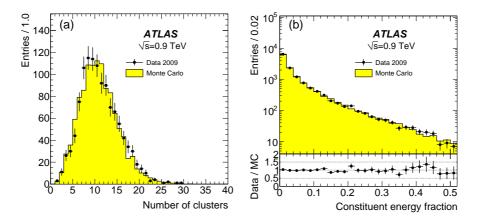


Figure 31: Distributions of (a) the number of clusters per jet and (b) the fraction of energy per cluster for jets reconstructed with topological clusters using the anti- $k_T$  algorithm with R=0.6.

Figure 32 shows the jet  $p_{\rm T}$  in data and Monte Carlo simulation, normalized to the number of jets in data. Figure 32(b) shows the difference of the azimuthal

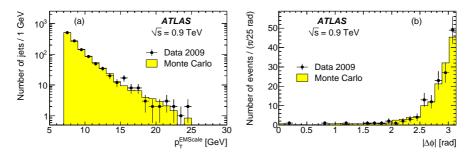


Figure 32: Distributions of (a)  $p_{\rm T}$  for all jets and (b)  $\Delta \phi$  for events with two or more jets. These are shown for jets reconstructed with topological clusters using the anti- $k_T$  algorithm with R=0.6.

angle of the two leading jets  $(\Delta \phi)$  in events with at least two reconstructed jets in data. The distribution peaks at  $\pi$ , corresponding to a topology where the two reconstructed jets are back-to-back in the transverse plane. In all distributions, the agreement between data and simulations is good, demonstrating that the description of the material and detector response in the simulation provides an adequate model of basic jet quantities.

### 7.2 Performance of the First Level Jet Trigger

The efficiency of the L1 jet trigger has been studied. Jets were reconstructed as before, but with a lower energy threshold,  $E_{\rm T}^{\rm jet} > 4$  GeV. For the efficiency determination the jet signatures identified by the first level calorimeter trigger were matched to those of reconstructed jets by requiring  $\Delta R < 0.6$ . L1 jet signatures are based on sums of trigger towers in the electromagnetic and hadronic calorimeter both calibrated to the electromagnetic scale. Trigger towers are formed by analogue summation on the detector and mostly have a size of  $0.1 \times 0.1$  in  $\eta \times \phi$ , being larger in parts of the end-caps and in the FCal. For the lowest threshold jet trigger the trigger suse  $0.8 \times 0.8$  in  $\eta \times \phi$ . The special treatment of the lowest threshold jet trigger is motivated by its higher susceptibility to noise.

The trigger efficiency is calculated as the fraction of reconstructed jets passing the quality requirements described which have a matched trigger jet. The results for the two jet triggers with 50% efficiency at around 15 GeV and 20 GeV are shown for data and Monte Carlo simulation as a function of the reconstructed jet transverse energy,  $E_{\rm T}^{\rm jet}$  in Fig. 33, where  $E_{\rm T}^{\rm jet}$  is given at the electromagnetic scale. The curves are fits to the simulation using a standard trigger turn-on parameterization with an error function. The data are in agreement with the fit, which shows that the thresholds are as they are expected to be. This is a step to understanding the initial jet selection performance of the first-level calorimeter trigger.

#### 7.3 Tau Studies Using Jets

Jets from QCD processes form the largest background for the reconstruction of hadronically decaying tau candidates. Therefore, even though the actual

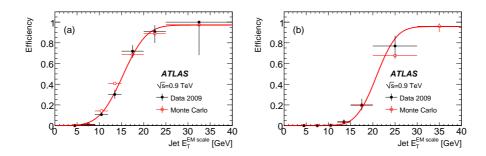


Figure 33: L1 jet trigger efficiency for the triggers with 50% efficiency at around 15 GeV and 20 GeV for data (solid) and simulation (open) together with a fit to the Monte Carlo using a standard threshold function (see text).

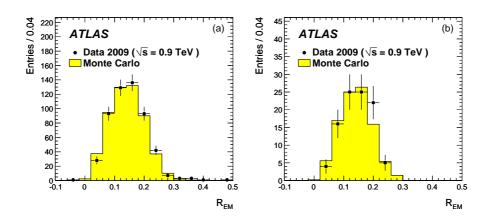


Figure 34: (a) The electromagnetic radius  $R_{EM}$  (see text) of the inclusive reconstructed tau candidates. (b) The same variable with a tightened selection requiring di-jet events. The Monte Carlo is normalized to the same number of candidates as in the data.

number of tau leptons in the 2009 data is expected to be negligible, basic track and cluster distributions have been studied using jets with emphasis on the variables of importance for tau reconstruction and identification. In Fig. 34, the electromagnetic radius,  $R_{\rm EM}$ , (the energy-weighted mean  $\Delta R$  of the jet components from the seed cell) is shown for all tau candidates with  $E_{\rm T}^{\rm jet} > 7 \, {\rm GeV}$ on the left and for a subset with a tight di-jet selection, which are more likely to be taken as  $\tau$  candidates, on the right. Good agreement between data and simulation is observed, which gives confidence in the results obtained from earlier performance estimates based on Monte Carlo simulations.

### 8 Missing Transverse Energy

A reliable measurement of the missing transverse energy,  $E_{\rm T}^{\rm miss}$ , is a key ingredient for many important analyses. This study considers  $E_{\rm T}^{\rm miss}$  reconstructed from calorimeter information only. As this measurement involves summing calorimeter cells over the whole detector, it is sensitive to detector and reconstruction effects. In particular events with rare unexpected noise contributions tend to appear in the tail of the  $E_{\rm T}^{\rm miss}$  spectrum.

 $E_{\rm T}^{\rm miss}$  reconstructed with the calorimeter is derived from the vector sum of the transverse energies of the cells. Because of the high granularity of the calorimeter (about 187 000 cells), it is crucial to suppress noise contributions to  $E_{\rm T}^{\rm miss}$ , i.e. to limit the number of cells used in the sum. This is done by only using cells belonging to three-dimensional topological clusters defined in Section 7.1. About 800 and 2500 cells on average are included in such clusters in randomly triggered and collision events, respectively. The 0.1% of the cells classified as noisy are removed.

The sensitivity to noise can be best studied in randomly triggered events, where minimal energy is deposited in the calorimeters. The  $E_{\rm T}^{\rm miss}$  distribution of these events is shown in Fig. 35, demonstrating the level of tails in randomly triggered events.

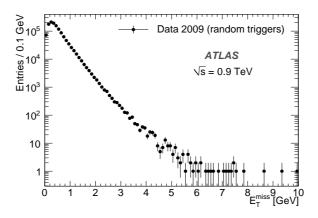


Figure 35: Distribution of  $E_{\rm T}^{\rm miss}$  as measured in data from randomly triggered events. Only cells belonging to topological clusters are included in the calculation; their energies are calibrated at the EM scale.

In soft proton-proton collisions, no true  $E_{\rm T}^{\rm miss}$  is expected. This is confirmed by the Monte Carlo simulation. Unlike in randomly triggered events, total transverse energies ( $\sum E_{\rm T}$ ) up to 100 GeV are deposited in the calorimeter for minimum-bias events in the present data set. Figure 36 shows the measured  $E_{\rm y}^{\rm miss}$  distributions as an example. For both  $E_{\rm x}^{\rm miss}$  and  $E_{\rm y}^{\rm miss}$ , the RMS values are about 1.4 GeV and 1.8 GeV for 0.9 TeV and 2.36 TeV centre-of-mass energies, respectively. These values are higher than in randomly triggered events because the finite resolution in the presence of real energy contributes to the width.

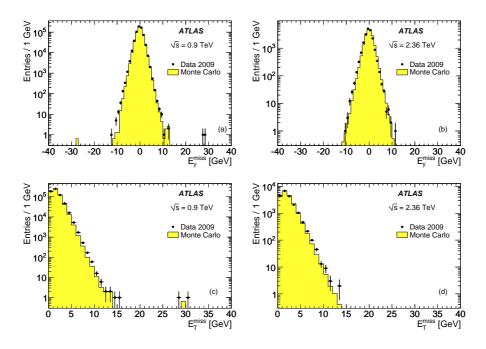


Figure 36: Distribution of  $E_y^{\text{miss}}$  (a,b) and  $E_T^{\text{miss}}$  (c,d) as measured in data from minimum-bias events (dots) at 0.9 TeV (a,c) and 2.36 TeV (b,d) centre-of-mass energies. In the calculation only topological cluster cells are used, with energies calibrated at the EM scale. The expectations from Monte Carlo simulation are superimposed (histograms) and normalized to the number of events in data.

The  $E_{\rm T}^{\rm miss}$  distribution, also shown in Fig. 36, is found to be satisfactory at this early stage. There are no events outside the borders of the figure. The two data events with large  $E_{\rm T}^{\rm miss}$  are consistent with arising from out-of-time energy in the detector. At least one of these appears to be a cosmic ray event. Such events are not included in the Monte Carlo simulation sample.

A more quantitative evaluation of the  $E_{\rm T}^{\rm miss}$  performance can be obtained from a study of the  $E_{\rm x}^{\rm miss}$  and  $E_{\rm y}^{\rm miss}$  resolutions as a function of the total transverse energy  $\sum E_{\rm T}$  in the event. The resolutions are expected to be proportional to  $\sqrt{\sum E_{\rm T}}$ . The resolutions observed in the ATLAS data at both centre-of-mass energies are presented as a function of  $\sqrt{\sum E_{\rm T}}$  in Fig. 37. A very good agreement between data and Monte Carlo simulation is obtained at both centre-of-mass energies. The  $E_{\rm T}^{\rm miss}$  resolution can be parameterized as  $\sigma(E_{\rm x}^{\rm miss},E_{\rm y}^{\rm miss})=0.37\times\sqrt{\sum E_{\rm T}}$  at the EM scale<sup>2</sup>, with a negligible statistical error.

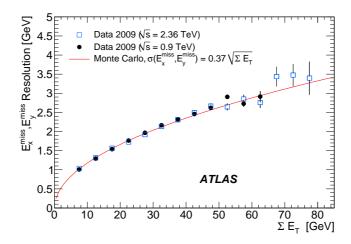


Figure 37:  $E_{\rm T}^{\rm miss}$  resolution as a function of the total transverse energy ( $\sum E_{\rm T}$ ) for minimum-bias events. The line shows a fit to the resolution expected from the Monte Carlo simulation and the full dots (open squares) represents the results with data at 0.9 (2.36) TeV.  $E_{\rm x}^{\rm miss}$ ,  $E_{\rm y}^{\rm miss}$ ,  $\sum E_{\rm T}$  are computed with topological cluster cells at EM scale.

#### 9 Muons

The calorimeters are surrounded by the muon spectrometer [4], which was designed to provide a trigger and accurate standalone reconstruction for muons with  $p_{\rm T}$  from several GeV up to a few TeV. In contrast, the muons studied in the sample collected at  $\sqrt{s}=0.9$  TeV are of relatively low  $p_{\rm T}$ . The air-core toroid system, with a long barrel and two inserted end-cap magnets, generates an average field of 0.5 T (1 T), in the barrel (end-caps) over a large volume. Multiple scattering effects are thereby minimized, and excellent muon momentum resolution is achieved with three layers of high precision tracking chambers. Over most of the  $\eta$  range, tracks are measured by Monitored Drift Tubes (MDT). For  $2 < |\eta| < 2.7$ , Cathode Strip Chambers (CSC) with higher granularity are used in the innermost layer, to withstand the demanding rate and background conditions. In addition, the muon spectrometer includes dedicated trigger chambers with nominal timing resolutions between 1.5 and 4 ns. They are composed of Resistive Plate Chambers (RPC) in the barrel and Thin-Gap Chambers (TGC) in the end-cap regions. Besides providing trigger signals they also measure the muon coordinate in the direction orthogonal to that determined by the precision-tracking chambers.

The muon analysis uses a somewhat smaller data set than other analyses as the toroid system was not always operational. In addition, it was checked

 $<sup>^{2}\</sup>sigma(E_{\rm x}^{\rm miss}, E_{\rm v}^{\rm miss})$  and  $E_{\rm T}$  in GeV

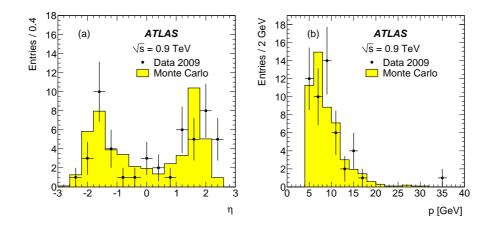


Figure 38: The distribution of  $\eta$  and p of reconstructed muons in the 0.9 TeV data. The simulation distributions are normalized to the number of entries in data.

that the MDTs, TGCs and RPCs were all operating normally. The algorithms used for muon reconstruction combine tracks from the muon systems and the inner detector, and are developed from those described in Ref. [16]. For the results presented here, two independent algorithms are used and only candidates selected by both are accepted. This selects a total of 50 muon candidates. Raw kinematic distributions for these candidates are presented in Fig. 38. The muon spectrum observed is soft and strongly peaked in the forward direction, where the momentum of the muons more often exceeds the 3.2 GeV needed to penetrate through the forward calorimeter. The kinematic distributions are compared to the predictions from minimum-bias simulation with the Monte Carlo normalized to the number of muons found in data. Within the large statistical uncertainties good agreement is found indicating a reasonable understanding of the initial performance of the ATLAS muon spectrometer.

The muon trigger, designed to select high- $p_{\rm T}$  muons, has a limited acceptance for the muon tracks reconstructed offline in 2009. Of the 38 muons in the endcap regions, 13 were triggered at L1 by the TGC. Of the 12 muons in the barrel, one was triggered at L1 by the RPC with the correct timing, another 9 were triggered with +1 bunch crossing misalignment during the timing adjustment phase, while the other 2 muons were outside the trigger acceptance. Only one muon passed the full trigger chain up to the EF combined trigger after applying the  $p_{\rm T} > 4$  GeV selection. The muon momenta and directions measured by the L2 and EF are in good agreement with the offline measurement.

# 10 Conclusions

The overall performance of the ATLAS detector at the LHC was established in first collision data at centre-of-mass energies of 0.9 TeV and 2.36 TeV. Although the detector has not been optimized for the low energy particles studied, its performance was found to be remarkably good, particularly in view of the early stage of data taking.

The overall data-taking efficiency was about 90% and the subdetectors were typically 99% operational. The entire computing infrastructure of Trigger/DAQ was immediately effective. Collision candidates were selected with a negligible background level.

The tracking detectors and electromagnetic calorimeters have, by the nature of the data set, been the most extensively tested components and they perform well. The hit efficiencies, resolutions and particle identification capabilities of the tracking detector are well modelled by Monte Carlo simulations. The discrimination between electrons and pions using transition radiation was demonstrated and outperforms any previous colliding beam experiment. The results of various studies suggest that the material distribution in the inner part of the tracker is well understood, at a level of a few percent of the total in the barrel silicon systems.

The momentum scale linked to the  $K_{\rm S}^0$  mass is known at the per mille level and the calibration of the electromagnetic calorimetry in the region of the  $\pi^0$ mass was checked at the level of 1%. Electron and photon reconstruction was extensively tested and performs well. The candidates typically have transverse momenta well below those for which reconstruction and identification algorithms were optimized, but their properties are shown to be in good agreement with simulation. Good calorimeter performance was also demonstrated by the measurement of the resolution of the missing transverse energy, which follows closely the expectations from Monte Carlo over the entire energy range probed.

The muon system was not extensively tested with this data set, but performs as expected within the precision available. It was well tested in cosmic ray data taken in 2008 [4].

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The ATLAS detector design and construction has taken about fifteen years, and our thoughts are with all our colleagues who sadly could not see its final realisation.

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